

06/28/99



JCS88 U.S. PTO

PTO/SB/05 (12/97)

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

241/259

First named Inventor or Application Identifier

Roger A. de la Torre, George D.
Hermann, Christopher Eric Thayer

Title

SCREW-TYPE SKIN SEAL WITH INFLATABLE MEMBRANE

Express Mail Label No.

EL358423010US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contacts

1. ☒ Fee Transmittal Form

(Submit an original, and a duplicate for fee processing)

2. ☒ Specification

[Total Pages 30]

(preferred arrangement set forth below)

-Descriptive title of the Invention

-Cross References to Related Applications

-Statement Regarding Fed sponsored R&D

-Reference to Microfiche Appendix

-Background of the Invention

-Brief Summary of the Invention

-Brief Description of the Drawings (if filed)

-Detailed Description

-Claim(s)

-Abstract of the Disclosure

☒ Formal Drawing(s) (35 USC § 113) [Total Sheets 11]☒ Oath or Declaration [Total Sheets 2]a. ☐ Newly executed (original or copy)b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
(Note Box 5 below)i. ☐ **DELETION OF INVENTORS**Signed statement attached deleting
inventor(s) named in the prior application,
see 37 CFR 1.53(d)(2) and 1.53(b).***NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY
FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF
ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28)****ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231**5. ☐ Microfiche Computer Program (Appendix)6. Nucleotide and/or Amino Acid Sequence Submission
(If applicable, all necessary)a. ☐ Computer readable Copyb. ☐ Paper Copy (Identical to computer copy)c. ☐ Statement verifying identity of above copies**ACCOMPANYING APPLICATION PARTS**7. ☐ Assignment Papers (cover sheet & document(s))8. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignment)9. ☐ English Translation Document (if applicable)10. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS) PTO-1449 Citations11. ☐ Preliminary Amendment12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)13. ☐ Small Entity ☐ Statement filed in prior application
Statement(s) Status still proper and desired14. ☐ Certified Copy of Priority Document(s)
(If foreign priority is claimed)15. ☐ Other:16. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment.☒ Continuation ☐ Divisional ☐ Continuation-in-Partof prior application No: 08/840,104

Prior application information: Examiner _____

Group / Art Unit _____

For **CONTINUATION** or **DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**17. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label

22249

or ☐ Correspondence address below

(insert Customer No. or Attach bar code label here)

NAME

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Signature

Date

June 28, 1999

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FEE TRANSMITTAL
for FY 1999

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.**TOTAL AMOUNT OF PAYMENT**

(\$ 760.

Complete if Known

Application Number

N/A

Filing Date

Herewith

First Named Inventor

de la Torre et al.

Group / Art Unit

N/A

Examiner Name

N/A

Attorney Docket Number

241/259

METHOD OF PAYMENT (check one)1. X The Commissioner is hereby authorized to charge
indicated fees and credit any over payments to:Deposit
Account
Number

12-2475

Deposit
Account
Name

Lyon & Lyon LLP

X Charge Any Additional
Fees Required Under
37 CFR 1.116 and 1.172. ☐ Payment Enclosed:

Money

☐ Check ☐ Order ☐ Other**FEE CALCULATION****1. FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)
101	760	201	380
106	310	206	155
107	480	207	240
108	760	208	380
114	150	214	75

Fee Description

Fee Paid

Utility filing fee

760.

Design filing fee

Plant filing fee

Reissue filing fee

Provisional filing fee

SUBTOTAL (1) (\$ 760.**2. CLAIMS**

Total Claims	Extra	Fee from below	Fee Paid
14	-20 =	x	0
2	- 3 =	x	0
		x	

Multiple Independent Claims

**or number previously paid, if greater; For Reissues, see below

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)
103	18	203	9
102	78	202	39
104	260	204	130
109	78	209	39
110	39	210	18

Fee Description

Claims in excess of 20

Independent claims in excess of 3

Multiple dependent claim, if not paid

**Reissue independent claims
over original patent**Reissue claims in excess of 20
and over original patent**SUBTOTAL (2)** (\$ 0**FEE CALCULATION (continued)**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
105	130	205	65	Surcharge-late filing fee or oath
127	50	227	25	Surcharge-late provisional filing fee or cover sheet
139	130	139	130	NonEnglish specification
147	2,520	147	2,520	For filing a request for reexamination
112	920*	112	920*	Requesting examination of SIR prior to Examiner action
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action
115	110	215	55	Extension for reply within first month
116	380	216	190	Extension for reply within second month
117	870	217	435	Extension for reply within third month
118	1,360	218	680	Extension for reply within fourth month
128	1,850	228	925	Extension for reply within fifth month
119	300	219	150	Notice of Appeal
120	300	220	150	Filing a brief in support of an appeal
121	260	221	120	Request for oral hearing
138	1,510	138	1,510	Petition to institute a public use proceeding
140	110	240	55	Petition to revive unavoidable
141	1,210	241	605	Petition to revive-unintentional
142	1,210	242	605	Utility issue fee (or reissue)
143	430	243	215	Design issue fee
144	580	244	290	Plant issue fee
122	130	122	130	Petitions to the Commissioner
123	50	123	50	Petitions related to provisional applications
126	240	126	240	Submission of Information Disclosure Stmt
581	40	581	40	Recording each patent assignment per property (times number of properties)
146	760	246	380	Filing a submission after final rejection (37 CFR 1.129(a))
149	760	249	380	For each additional invention to be examined (37 CFR 1.129(b))

Other fee (specify) _____

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 0

SUBMITTED BYTyped or
Printed Name

James K. Sakaguchi

Signature

Date

June 28, 1999

Complete (if applicable)

Reg. Number

41,285

Deposit Account

User ID

12-2475

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